MAR 2 7 2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	(Case No. 06-	(07)	
in the Application of:		)	
Graham Richard Wyatt	<i>,</i>	) Examiner: Not Ass	igned
Serial No.: 10/591,205	*	) ) Group Art Unit: Un	known
Filed: August 31, 2006		) Group Art Offic. Off	KIIOWII
Title: Threat Mitigation in Compu	ter Networks	)	

## TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application:

- 1. We are transmitting herewith the attached:
  - a. Information Disclosure Statement
  - b. Statement of Relevancy of Foreign Language Reference
  - c. Copies of cited Non-U.S. Patent References
  - d. Return Receipt Postcard.
- 2. With respect to additional fees:
  - a. Attached is a check in the amount of \$-0-
- 3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of March, 2007.

Dated: March 23, 2007

A. Blair Hughes

Reg. No. 32,901

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In the Application of:	)
Graham Richard Wyatt	) Art Unit: Unknown
Serial No.: 10/591,205	
Filed: August 31, 2006	) Examiner: Not Assigned
Title: Threat Mitigation in Computer Networks	)

## Statement of Relevancy of a Foreign Language References

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The DE 100 23 249 reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "X" reference relevant to claims 1-21 meaning it is a "document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone".

The DE 102 35 819 reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "X" reference relevant to claims 1-21 meaning it is a "document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone".

The Marcolini reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "A" reference relevant to claims 1-21 meaning it is a "document defining the general state of the art which is to considered to be of particular relevance".

Respectfully submitted,

McDONNELL BOEHNEN HULBERT &

BERGHOEF LLP

Dated: March 23, 2007

By:

A. Blair Hughes Reg. No. 32,901

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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/ INFORMATION DISCLOSURE STATEMENT BY APPLICANT 
 Complete if Known

 Application Number
 10/591,205

 Filing Date
 8-31-06

 First Named Inventor
 Wyatt

 Art Unit
 Unknown

 Examiner Name
 Unknown

(Use as many sheets as necessary)

Substitute for form 1449A/PTO

Sheet 1 of 2 Attorney Docket Number 06-707

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Cite Document Number Publication Date Name of Patentee or Pages, Colu				
		us- 2003/120812	6-26-03	Nitta		
		us-2003/188196	10-2-03	Choi		
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>
		DE 102 35 819	2-26-04	Schneider		
		DE 100 23 249	11-22-01	Martens		
	-					
	+					

	1	 
Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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or form 1449B/PTO

Sheet

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/591,205	
Filing Date	8-31-06	
First Named Inventor	Wyatt	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket Number	06-707	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			T²	
		Marcolini, "Erweiterter Zugangsshutz Beim Dateiaustausch", Siemens Telcom Report, Vol. 11, pp. 150-152 (1988)		
		"WYSIWYS - What you see is what you sign" (Digital Transaction Security- Marketing), published in 2002 by Utimaco Safeware AG (www.ultimaco.com)		
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Examiner		Date		

Examiner	Date Considered	
Signature	Considered	l

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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